



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/695,065	10/25/2000	Michael A. Brasch	0942.5000001/RWE/BJD

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.
Attorneys at Law
Suite 600
1100 New York Avenue, N.W.
Washington, DC 20005-3934



FORMALITIES LETTER



OC000000005630737

Date Mailed: 12/20/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37. CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$722.
 - \$162 for 9 total claims over 20.
 - \$560 for 7 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1562.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

02/16/2001 RHARIS1 00000091 09695065
01 FC:101 710.00 OP
02 FC:102 560.00 OP
03 FC:103 162.00 OP
04 FC:105 130.00 OP
12/20/00

PART 2 - COPY TO BE RETURNED WITH RESPONSE

STEIN
SLER, GOLDSTEIN & FOX P.L.L.C.
 ATTORNEYS AT LAW
 10 NEW YORK AVENUE, N.W. • WASHINGTON, D.C. 20005-3934
 PHONE (202) 371-2600 • FACSIMILE (202) 371-2540 • www.skgf.com

Section \$

ROBERT GREENE STERNE
 EDWARD J. KESSLER
 JORGE A. GOLDSTEIN
 SAMUEL L. FOX
 DAVID K.S. CORNWELL
 ROBERT W. ESMONT
 ROBERT-GENE G. D.
 TRACY-GENE A. CIMP
 MICHAEL B. RA
 ROBERT E. S.
 ERIC K. STF
 MICHAEL /

IN R. LUDWIG
 N.M. COVERT
 DA E. ALCORN
 JBERT C. MILLONIG
 MICHAEL V. MESSINGER
 JUDITH U. KIM
 TIMOTHY J. SHEA, JR.
 DONALD R. MCPHAIL
 PATRICK E. GARRETT
 STEPHEN G. WHITESIDE
 JEFFREY T. HELVEY
 HEIDI L. KRAUS

JEFFREY R. KURIN
 RAYMOND MILLIEN
 PATRICK D. O'BRIEN
 LAWRENCE B. BUGAISKY
 CRYSTAL D. SAYLES
 EDWARD W. YEE
 ALBERT L. FERRO
 DONALD R. BANOWIT
 PETER A. JACKMAN
 MOLLY A. MCCALL
 TERESA U. MEDLER
 JEFFREY S. WEAVER

KRISTIN K. VIDOVICH
 KENDRICK P. PATTERSON
 DONALD J. FEATHERSTONE
 GRANT E. REED
 VINCENT L. CAPUANO
 JOHN A. HARROUN
 ALBERT J. FASULO II
 W. BRIAN EDGE
 ELDORA ELLISON FLOYE
 W. RUSSELL SWINDELL
 THOMAS C. FIALA

KAREN R. MARKOWICZ
 SUZANNE E. ZISKA
 BRIAN J. DEL BUONO
 ANDREA J. KAMAGE
 NANCY J. LEITH
 ELIZABETH J. HAANES
 MARK P. TERRY
 TARJA H. NAUKKARINEN

*BAR OTHER THAN D.C.
 **REGISTERED PATENT AGENTS

WRITER'S DIRECT NUMBER:
 (202) 789-5525
 INTERNET ADDRESS:
 BRIAND@SKGF.COM

Box Missing Parts



February 13, 2001

Commissioner for Patents
 Washington, D.C. 20231

Re: U.S. Utility Patent Application
 Appl. No. 09/695,065; Filed: October 25, 2000
 For: **Methods of Manipulating and Sequencing Nucleic Acid
 Molecules Using Transposition and Recombination**
 Inventors: Brasch *et al.*
 Our Ref: 0942.5000001/RWE/BJD

Sir:

In reply to the "Notice to File Missing Parts of Application--Filing Date Granted," dated December 20, 2000, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. PTO Fee Transmittal Form PTO/SB/17;
2. Copy of the Notice to File Missing Parts;
3. An original Declaration executed by the inventors (2 pages);
4. An original executed Power of Attorney from Assignee;
5. Assignee 37 C.F.R. § 3.73(b) Statement with a copy of the executed Assignment attached;
6. Return postcard; and

Commissioner for Patents
February 13, 2001
Page 2

7. Our Check No. 30330 for \$1,562.00 to cover:

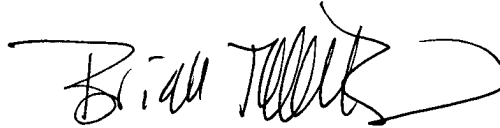
\$710.00	Filing Fee for Patent Application (37 C.F.R. § 1.16)
\$722.00	Fee for excess claims (37 C.F.R. § 1.16)
\$130.00	Surcharge for late filing of Declaration (37 C.F.R. § 1.16).

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Brian J. Del Buono
Attorney for Applicants
Registration No. 42,473

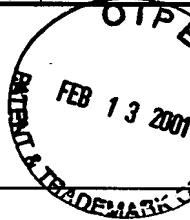
BJD/nef

Enclosures

P:\USERS\BRIAND\0942\5000001\02132001nmp-cvr
SKGF Rev. 4/27/00

**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

**Complete if Known**

Application Number	09/695,065
Filing Date	October 25, 2000
First Named Inventor	Brasch et al.
Examiner Name	To be assigned
Group Art Unit	1645
Attorney Docket No.	0942.5000001/RWE/BJD

TOTAL AMOUNT OF PAYMENT (\$ 1,562.00)

METHOD OF PAYMENT (check one)

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number 19-0036
Deposit Account Name Sterne, Kessler, Goldstein & Fox P.L.L.C.

- ☐
- Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

- ☐
- Applicant claims small entity status See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☒ Other*
*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

FEE CALCULATION**1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1) (\$)					710.00

2. EXTRA CLAIM FEES

	Extra	Fee from below	Fee Paid
Total Claims <u>29 - 20** = 9</u>	X <u>18.00</u>	=	<u>162.00</u>
Indep. Claims <u>10 - 3** = 7</u>	X <u>80.00</u>	=	<u>560.00</u>
Multiple Dependent		=	<u>00.00</u>

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
108	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				(\$) 722.00

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	481	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) :					
Other fee (specify) :					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3) (\$)					130.00

SUBMITTED BY**Complete (if applicable)**

Name (Print/Type)	Brian J. Del Buono	Registration No. (Attorney/Agent)	42,473	Telephone	202-371-2600
Signature		Date	Feb. 13, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SKGP Rev. 11/13/00 minac

02132001nmpfeetransm